



## Notice of meeting of a Public Meeting

### Audit & Governance Committee

<b>To:</b>	Councillors Potter (Chair), Brooks (Vice-Chair), Ayre, Barnes, Burton, Watson, Wiseman and Mr Whiteley (Co-opted Non-Statutory Member)
<b>Date:</b>	Wednesday, 16 April 2014
<b>Time:</b>	5.30 pm
<b>Venue:</b>	The George Hudson Board Room - 1st Floor West Offices (F045)

### AGENDA

#### 1. **Declarations of Interest**

Members are asked to declare:

- Any personal interests not included on the Register of Interests
- Any prejudicial interests or
- Any disclosable pecuniary interests

which they may have in respect of business on this agenda.

#### 2. **Minutes** (Pages 1 - 22)

To approve and sign the minutes of the meeting of the Audit and Governance Committee held on 12 February 2014.

### **3. Public Participation**

At this point in the meeting members of the public who have registered their wish to speak regarding an item on the agenda or an issue within the Committee's remit can do so. The deadline for registering is **5:00 pm on Tuesday 15 April 2014.**

Members of the public may speak on:

- An item on the agenda
- An issue within the remit of the Committee

### **Filming, Recording or Webcasting Meetings**

Please note this meeting may be filmed and webcast and that includes any registered public speakers, who have given their permission. This broadcast can be viewed at <http://www.york.gov.uk/webcasts>.

Residents are welcome to photograph, film or record Councillors and Officers at all meetings open to the press and public. This includes the use of social media reporting, i.e. tweeting. Anyone wishing to film, record or take photos at any public meeting should contact the Democracy Officer (whose contact details are at the foot of this agenda) in advance of the meeting.

The Council's protocol on Webcasting, Filming & Recording of Meetings ensures that these practices are carried out in a manner both respectful to the conduct of the meeting and all those present. It can be viewed at [http://www.york.gov.uk/downloads/download/3130/protocol\\_for\\_webcasting\\_filming\\_and\\_recording\\_of\\_council\\_meetings](http://www.york.gov.uk/downloads/download/3130/protocol_for_webcasting_filming_and_recording_of_council_meetings)

### **4. Forward Plan (Pages 23 - 28)**

This paper presents the future plan of reports expected to be presented to the Committee during the forthcoming year to February 2015.

### **5. Key Corporate Risk Monitor Four 2013/14 (Pages 29 - 44)**

This paper presents an update on the key corporate risks and highlights any emerging risk issues with a view to Members considering any further information they would wish to receive on these matters.

**6. Update on Changes to Transparency Requirements (Pages 45 - 98)**

This report provides an update following the recent Government response to a consultation by the Department for Communities and Local Government on the Code of Recommended Practice on Data Transparency in Local Government. The report sets out the key changes in terms of additional information that is recommended to be published, and the action the Council is taking to comply with this.

**7. Project Boards (Pages 99 - 102)**

This report outlines the Council's approach to the set up of project boards during the start up phase of a project lifecycle.

**8. Mazars Audit Strategy Memorandum (Pages 103 - 118)**

This report from Mazars presents the Audit Strategy Memorandum for the year ended 31 March 2014.

**9. Mazars Certification of Claims and Returns Work Plan 2013/14 (Pages 119 - 130)**

This report from Mazars presents the Certification of Claims and Returns Work Plan 2013/14.

**10. Mazars Audit Progress Report (Pages 131 - 140)**

This report updates Members on Mazars' progress in meeting its responsibilities as external auditor. It also outlines key emerging national issues and developments.

**11. Internal Audit, Counter Fraud and Information Governance Plan 2014/15 (Pages 141 - 162)**

This report seeks the Committee's approval for the planned programme of audit, counter fraud and information governance work to be undertaken in 2014/15.

**12. Audit and Counter Fraud Monitoring Report (Pages 163 - 188)**

This report provides an update on progress made in delivering the internal audit workplan for 2013/14 and on current counter fraud activity.

### 13. Internal Audit Follow Up Report (Pages 189 - 194)

This is the regular six monthly report to the committee setting out progress made by Council departments in implementing actions agreed as part of internal audit work.

### 14. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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For more information about any of the following please contact the Democratic Services Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports and
- For receiving reports in other formats

Contact details are set out above.

**This information can be provided in your own language.**

我們也用您們的語言提供這個信息 (Cantonese)

এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)

Ta informacja może być dostarczona w twoim własnym języku. (Polish)

Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)

یہ معلومات آپ کی اپنی زبان (بولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)

 (01904) 551550